WW/565456

CASE PN/4-33256A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PCT NATIONAL STAGE APPLICATION OF

AUBERSON ET AL.

INTERNATIONAL APPLICATION NO: PCT/EP2004/008283

FILED: 23 JULY 2004

U.S. APPLICATION NO: Not Yet Known

35 USC §371 DATE: Herewith

FOR: NOVEL DIBENZO[B,F]OXEPINE-10-CARBOXAMIDES AND

PHARMACEUTICAL USES THEREOF

MS: Amendment Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This paper is being filed within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 of the international application. Therefore, no fees are required. If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-0134.

In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

The listed references were cited in the international stage search report. Since these references are of record in the instant PCT application PCT/EP2004/008283, copies are not enclosed herewith.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Novartis Corporate Intellectual Property One Health Plaza, Building 104 East Hanover, NJ 07936-1080 (862) 778-7951

Date: January 20, 2006

Respectfully submitted

Peter J. Waibel Attorney for Applicants Reg. No. 43,228

Sheet 1 of 1

FORM PTO-1449 (REV. 7-85)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY. DOCKET NO. PN/4-33256A
APPLICATION NO. 565456 Not Yet Known. AUBERSON ET AL. **FILING DATE** Group Herewith

IAP20 RESELECTION 20 JAN 2006

U.S. PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DAT	E
	AA							_
	АВ							
	AC				<u> </u>			_
	AD							
	AE							
	AF						-	_
	AG				· · · · · · · · · · · · · · · · · · ·			
	АН							
	Al							_
	AJ							_
	AK							
	AL							
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRANSLATION YES NO	<u>N</u>
	AM							
	AN							
	AO							
	AP							
	AQ							_
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)								

AR	Emilien et al., "Prospects for Pharmacological Intervention in Alzheimer Disease", Archives of Neurology, Vol. 57, No. 4, pp. 454-459 (2000).
AS	
АТ	
EYAMINED	DATE CONSIDERED

*EXAMINER:

Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.